

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**ATTN: PETITION'S BRANCH**

KALKUNTE et al

Art Unit: 2739

Application No.: 09/577,012

Examiner: Not yet assigned

Confirmation No.: 5213

Filed: May 24, 2000

Attorney Dkt. No.: 58268.09017

For: APPARATUS AND METHOD FOR DISTRIBUTING A LOAD ACROSS A  
TRUNK GROUP

**COMMUNICATION TO PETITION'S BRANCH**

Commissioner for Patents  
Washington, D.C. 20231

September 24, 2002

Sir:

We enclose a copy of a Petition filed March 14, 2002, and attachments, in the above-referenced application. We also enclose a copy of the PTO postcard, acknowledging receipt of these documents on March 14, 2002, and a copy of a cancelled check in the amount of \$300.00. Applicants have not received any decision on the petition, and in a telephone conversation with agents of the Petition's Branch on September 19, 2002, it was determined that the United States Patent & Trademark Office computer system did not reflect the receipt of any such petition. It is therefore respectfully requested that the attached evidence be accepted as evidence of the filing of the Petition on March 14, 2002, and that a favorable decision on the petition be entered in due course. It is further requested that the response to Notice to File Missing Parts be entered, and that prosecution proceed in a timely fashion.

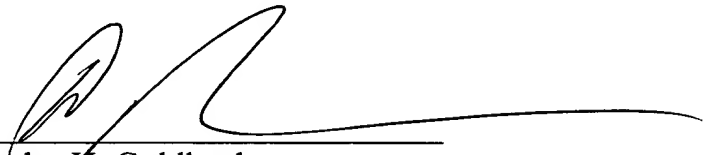
**RECEIVED**

SEP 24 2002

**OFFICE OF PETITIONS**

In the event that there are any fees due with respect to the filing of this paper, please charge Counsel's Deposit Account 50-2222.

Respectfully submitted,

  
\_\_\_\_\_  
Douglas H. Goldhush  
Registration No. 33,125

**Customer No. 32294**  
SQUIRE, SANDERS & DEMPSEY LLP  
14<sup>TH</sup> Floor  
8000 Towers Crescent Drive  
Tysons Corner, Virginia 22182-2700  
Telephone: 703-720-7800  
Fax: 703-720-7802

DHG:scc

Enclosures: Copy of Stamped Postcard Receipt  
Copy of Check No. 336308  
Copy of Petition to Withdraw the Holding of Abandonment as filed on  
3/14/02 with attachments  
Copy of Notification and Change of Name and Address and Associate  
Power of Attorney as filed on April 4, 2002

108339-09017

9

Patent ☒ Trademark ☐ Docket No. \_\_\_\_\_

Serial No. 09/577,012 Filed May 24, 2000

Applicant(s) KALKUNTE et al

Papers filed herewith on March 14, 2002

☒ Fees \$ 300.00 Check #336308☒ Assignment (w/ PTO-1595)☐ New Application☒ Declaration☐ Amendment☐ Priority Document☐ Notice of Appeal☐ Req. for Ext. of Time☐ IDS/PTO-1449☐ Drawings☒ Other Petition to Withdraw the Holding of Abandonment; Copy of Notice of Abandonment; Copy of CPI Docket Printout for 10/30/00; Copy of Front Page of the File; Copy of Douglas H. Goldhush's Docket Book for 10/30/00; Response

to Notice to File Missing Parts

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case.

DHG:scc

COMMISSIONER OF PATENTS

O I P E  
MAR 14 2002  
PATENT & TRADEMARK OFFICE

THIS CHECK IS VOID WITHOUT A COLORED BACKGROUND AND AN ARTIFICIAL WATERMARK CERTIFICATION SEAL ON THE BACK - HOLD AT ANGLE TO VIEW SEAL.



# Arent Fox

**ATTORNEYS-AT-LAW**

Agent Fox-Klinther Plotkin & Kahn, PLLC

1056 Connecticut Avenue, N.W.

Washington, DC 20038-9338

336308 336308

DATE **Mar. 14 2002**

NET AMOUNT \$ \*\*\*\*\*300.00

**Arent Fox Kintner Plotkin & Kahn, PLLC**  
**AMOUNTS OVER \$10,000 REQUIRE 2 SIGNATURES**

James Gordon

**AUTHORIZED SIGNATURE**

FIRST UNION NATIONAL BANK  
WASHINGTON, DC

**PAY  
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OF**

COMM. OF PATENTS & TRADEMARKS

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THIS CHECK IS VOID WITHOUT A COLORED BACKGROUND AND AN ARTIFICIAL WATERMARK CERTIFICATION SEAL ON THE BACK - HOLD AT ANGLE TO VIEW SEAL

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DUNCAN WHITE, STAFF OFFICER BELOW THIS LINE

PAID BY THE PAYEE  
12-10-2001  
03-15-2002  
FOR CREDIT TO THE  
U.S. TREASURY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

**ATTN: PETITION'S BRANCH**

KALKUNTE et al

Art Unit: 2739

Serial Number: 09/577,012

Examiner: Not yet assigned

Confirmation No.: 5213

Atty. Docket No.: 108339-09017

Filed: May 24, 2000

For: APPARATUS AND METHOD FOR DISTRIBUTING A LOAD ACROSS A TRUNK GROUP

**PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT**

Commissioner for Patents  
Washington, D.C. 20231

March 14, 2002

Sir:

Applicants respectfully petition the Commissioner to Withdraw the Holding of Abandonment noted in the Notice of Abandonment dated January 30, 2002, in the above-referenced application.

The Notice of Abandonment indicated that the application was abandoned for failure to timely or properly reply to the Notice to File Missing Parts dated August 30, 2000.

Applicants hereby Petition for Withdrawal of the Holding of Abandonment due to the fact that applicants' attorney never received a Notice to File Missing Parts in this application.

Applicants respectfully submit that applicants' normal procedure upon receiving government mail such as a Notice to File Missing Parts is to docket the due date in applicants' electronic (CPI™) docketing system. In this case, if the Notice to File Missing Parts was dated August 30, 2000, the docketing department would have, through the course of normal business practices, docketed a response due for October 30, 2000. Applicants enclose herewith a copy of the CPI printout for October 30, 2000; as you can

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SEP 24 2002

OFFICE OF PETITIONS

see, there are no docket entries for this application due to the fact that no Notice to File Missing Parts was received.

A further practice at our firm is to write, by hand, Office Action/docketable item receipt on the front page of the file, along with the due date. We enclose a photocopy of the front page of the file, showing no entries for any Office Actions or due dates.

Finally, as a third piece of evidence, we enclose a copy of the October 30, 2000 page of Douglas H. Goldhush's private docket book. It is a further practice of Mr. Goldhush and his assistant to enter, by hand, the due date information for any Office Actions or Notices to File Missing Parts which are received based on applications which he filed. Since Mr. Goldhush was the responsible attorney for filing this application on May 24, 2000, any Notice to File Missing Parts would have, if received, been forwarded to Mr. Goldhush and entered into his docket book. As evident from the copy of the October 30 page attached herewith, there is no entry.

In summary, therefore, applicants submit that this Holding of Abandonment was made in error, due to the non-receipt of the Notice to File Missing Parts.

Applicants have not, as yet, seen the Notice to File Missing Parts. However, filed herewith is a Response to a Notice to File Missing Parts, which contains a Declaration under 37 CFR § 1.63. Since the application was otherwise properly filed, this should be a full and complete response to the Notice to File Missing Parts.

It is therefore respectfully requested that the Holding of Abandonment be withdrawn, and this application passed to issue.

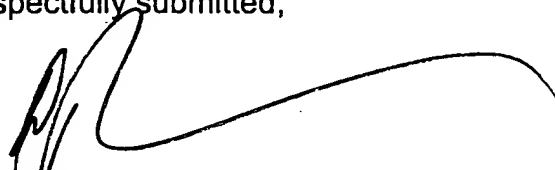
In the event that this petition is not deemed to be proper, it is respectfully requested that this Petition be deemed to be a Petition to Revive on the grounds of unavoidable delay, under 37 CFR § 1.137(a). All of the requirements of such a Petition are met by the explanations and evidence provided herewith.

Furthermore, in the event that such a Petition is denied, this Petition is requested to be considered a Petition to Revive on the grounds of unintentional delay, pursuant to 37 CFR § 1.137(a). However, it is respectfully submitted that the Petition for Withdrawal of Holding of Abandonment or Petition to Revive due to unavoidable delay is sufficient.

Enclosed is a check in the amount of Three Hundred Dollars (\$300.00) to cover the petition fee of \$130.00, the surcharge fee of \$130.00, and the assignment recordation fee

of \$40.00. In the event that this check is found to be insufficient, or if any additional fees are due with respect to the filing of this paper, please charge Deposit Account Number 01-2300.

Respectfully submitted,



Douglas H. Goldhush  
Attorney for Applicants  
Registration No. 33,125

**Customer No.: 004372**

**ARENT FOX KINTNER PLOTKIN & KAHN PLLC**

1050 Connecticut Avenue, N.W., Suite 400

Washington, D.C. 20036-5339

Tel (202) 857-6000

Fax (202) 638-4810

DHG:scc

Enclosures: Check No. 336308  
Copy of Notice of Abandonment  
Copy of CPI Docket Printout for October 30, 2000  
Copy of Front Page of the File  
Copy of Douglas H. Goldhush's docket book for October 30, 2000  
Response to Notice to File Missing Parts



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/577,012	05/24/2000	Mohan Kalkunte	P108339-9017

CONFIRMATION NO. 5213

ABANDONMENT/TERMINATION  
LETTER

\*OC000000007389814\*

Arent Fox Kintner Plotkin & Kahn PLLC  
Suite 600  
1050 Connecticut Avenue NW  
Washington, DC 20036-5339

Date Mailed: 01/30/2002

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 08/30/2000.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY





Thursday, March 14, 2002

## Prosecution History

Page: 2

Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
07299-9003	US RBM			30-Oct-2000	N/A E-3 Due Date Resp. Sent Date: 28-Jul-2000	NOT. OF APPEAL Date Taken: 28-Jul-2000	90005318%2276	06-Apr-1999	Transferred
Remarks: PER PTO COMMUNICATION, PETITION HAS BEEN GRANTED, LTR DTD 5-1-01 Transferred per RBM's dtd 11/1/01 **SPECIAL NOTICE - CONTACT DTN ONCE EXAM COMMUNICATION IS REC'D**									
07299-9003	US RBM			30-Oct-2000	3RD Extension Due Date Resp. Sent Date: 17-Jul-2000	US-FINAL RESTRICTION Date Taken: 17-Jul-2000	90005318%2276	06-Apr-1999	Transferred
Remarks: PER PTO COMMUNICATION, PETITION HAS BEEN GRANTED, LTR DTD 5-1-01 Transferred per RBM's dtd 11/1/01 **SPECIAL NOTICE - CONTACT DTN ONCE EXAM COMMUNICATION IS REC'D**									
07406-9002	US RLB			30-Oct-2000	1 MON EXTENSION Due Date Resp. Sent Date: 02-Oct-2000	US-3MON. ACTION Date Taken: 02-Oct-2000	09269192%9568	30-Mar-1999	Granted
Remarks: REC'D INT SUMMARY 6-18-01									
08218-9002	US GEO			30-Oct-2000	SEND LETTER Due Date Resp. Sent Date: 11-Dec-2000	ANALYSIS LETTER Date Taken: 11-Dec-2000	09282431%8604	31-Mar-1999	Abandoned
Remarks: Ltr to client 2/7/01 confirming Abandonment									
L00021-7028	US SH			30-Oct-2000	ISSUE FEE DUE Due Date Resp. Sent Date: 30-Oct-2000	US-Allowance Date Taken: 30-Oct-2000	08/862694	23-May-1997	Granted
Remarks: DIV OF 08/333559, OLD SERIAL #08/854964 NEW # ISSUED BECAUSE OF PATENT OFFICE ERROR.-- MFEE HANDLED BY CPA PER CLT LTR DTD 8/7/01--									
100120-9003	US GEO			30-Oct-2000	Issue Fee Due Final Resp. Sent Date: 27-Oct-2000	US-Allowance Date Taken: 27-Oct-2000	09/447810	23-Nov-1999	Granted



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Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
100353-8027	US	GEO		30-Oct-2000	1 MON EXTENSION Due Date	US-3MON ACTION	09104374%4173	25-Jun-1998	Granted
					Resp. Sent Date: 02-Oct-2000	Date Taken: 02-Oct-2000			
100353-9037	US	CMM		30-Oct-2000	Foreign Filing Due Final	Foreign Filing	09449642%6525	30-Nov-1999	Pending
					Resp. Sent Date: 30-May-2000	Date Taken: 30-May-2000			
100353-9038	US	RJH		30-Oct-2000	SEND LETTER Due Date	ANALYSIS LETTER	09461722%4182	16-Dec-1999	Granted
					Resp. Sent Date: 22-Dec-2000	Date Taken: 22-Dec-2000			
100308-9002	US	RJH		30-Oct-2000	1 MON EXTENSION Due Date	US-3MON ACTION	09445450%9950	15-Dec-1999	Abandoned
					Resp. Sent Date: 29-Sep-2000	Date Taken: 29-Sep-2000			
					Remarks: PTO Communication dated 2-13-01 agreement not reached, it is not necessary for applicant to provide a separate record of the substance of the interview. **CON Application filed 1/28/02 per e-mail 2/1/02**				
100514-8015	US	RBM		30-Oct-2000	Issue Fee Due Final	US-Allowance	091147263	13-May-1997	Granted
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
					Remarks: 9620454 FILED OCT 1, 1996 PCT/GB97/01280 FILED MAY 13, 1997 FEE ADD FORM FILED 3/15/01 (CPA) REC EXE ASSGMT FRM CLT FOR FILING 7-10-01				
100514-8015	US	RBM		30-Oct-2000	ISSUE FEE FINAL Final	US-Allowance	091147263	13-May-1997	Granted
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
					Remarks: 9620454 FILED OCT 1, 1996 PCT/GB97/01280 FILED MAY 13, 1997 FEE ADD FORM FILED 3/15/01 (CPA) REC EXE ASSGMT FRM CLT FOR FILING 7-10-01				
100564-00003	US	RKC		30-Oct-2000	E-3 Due Date	MISSING PRT 2MO	09528988%5999	20-Mar-2000	Pending
					Resp. Sent Date: 30-Aug-2000	Date Taken: 30-Aug-2000			

Thursday, March 14, 2002

## Prosecution History

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Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
100364-7015	US RKC			30-Oct-2000	1 MON EXTENSION Due Date	US-3MON. ACTION	08881509%3145	24-Jun-1997	PENDING
					Remarks:				
					Resp. Sent Date: 02-Oct-2000	Date Taken: 02-Oct-2000			
100564-8007	US RKC			30-Oct-2000	APPEAL BRIEF E2 Due Date	APPEAL BRIEF	09068569%2452	22-Nov-1996	Granted
					Remarks: PCT/EP96/05177; FILED 11/22/96				
					Resp. Sent Date: 02-Oct-2000	Date Taken: 02-Oct-2000	FEE ADD FORM FILED (CPA)	6-25-01	
100564-8011	US LAB			30-Oct-2000	1 MON EXTENSION Due Date	US-3MON. ACTION	09115906%1933	15-Jul-1998	Granted
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
100570-9009	US CMM			30-Oct-2000	Issue Fee Due Final	US-Allowance	29/113660	10-Nov-1999	Granted
					Resp. Sent Date: 23-Oct-2000	Date Taken: 23-Oct-2000			
100570-9009	US CMM			30-Oct-2000	ISSUE FEE FINAL Final	US-Allowance	29/113660	10-Nov-1999	Granted
					Resp. Sent Date: 23-Oct-2000	Date Taken: 23-Oct-2000			
100698-00004	US SH			30-Oct-2000	FILE NEW APPLN Final	FILE NEW APPLN.	09/696012	26-Oct-2000	Pending
					Resp. Sent Date: 26-Oct-2000	Date Taken: 26-Oct-2000			
100725-9009	US GEO			30-Oct-2000	1 MON EXTENSION Due Date	US-3MON. ACTION	09320649%5070	27-May-1999	Pending
					Resp. Sent Date: 26-Sep-2000	Date Taken: 26-Sep-2000			
100813-9004	US RJH			30-Oct-2000	2 MON EXTENSION Due Date	US-3MON. ACTION	09/147709	14-Jan-1999	Granted
					Remarks: PCT/JP98/02838; FILED JAN 14, 1999				
					Resp. Sent Date: 30-Aug-2000	Date Taken: 30-Aug-2000	FEE ADD FORM FILED (CPI)	5-9-01	

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<b>Case Number</b>	<b>Sub Case Country Attorney Due</b>	<b>Date</b>	<b>Reason for Date/ Indicator</b>	<b>Action Type</b>	<b>Application Number</b>	<b>Filing Date</b>	<b>Status</b>
101160-9011	US MO	30-Oct-2000	Foreign Filing Due  Final	Foreign Filing	09449633%6515	30-Nov-1999	Granted
			<b>Resp. Sent Date:</b> 30-May-2000	<b>Date Taken:</b> 30-May-2000			
01190-8015	US DHG	30-Oct-2000	Fee Due in 1 Month  Reminder	US-Allowance	09/190234	13-Nov-1998	Granted
			<b>Remarks:</b> FEE ADD FORM FILED DESIGNATING CPI 3-20-01				
			<b>Resp. Sent Date:</b> 21-Nov-2000	<b>Date Taken:</b> 21-Nov-2000			
101201-08002	US DHG	30-Oct-2000	Issue Fee Due  Final	US-Allowance	09/051919	11-Aug-1998	Granted
			<b>Remarks:</b> MFEEES TRANS TO THIRD PARTY PER CLT LTR DTD				
			<b>Resp. Sent Date:</b> 06-Oct-2000	<b>Date Taken:</b> 06-Oct-2000	4/3/01		
101580-9014	US RJB	30-Oct-2000	1 MON EXTENSION  Due Date	US-3MON ACTION	09403021%6747	18-Oct-1999	Pending
101614-7036	US RBM	30-Oct-2000	Issue Fee Due  Final	US-Allowance	08/860731	16-Oct-1997	Granted
			<b>Remarks:</b>				
			<b>Resp. Sent Date:</b> 25-Oct-2000	<b>Date Taken:</b> 25-Oct-2000			
101614-7036	US RBM	30-Oct-2000	ISSUE FEE FINAL  Final	US-Allowance	08/860731	16-Oct-1997	Granted
			<b>Remarks:</b>				
			<b>Resp. Sent Date:</b> 25-Oct-2000	<b>Date Taken:</b> 25-Oct-2000			
101676-00000	DE RBM	30-Oct-2000	FRGN ANNUITY FEE  Reminder	ANNUITY FEE	94929744.4	09-Sep-1994	Granted
	MTY TXW						
			<b>Remarks:</b> European Patent No. 0719180 validated in Germany				
			<b>Resp. Sent Date:</b> 29-Nov-2000	<b>Date Taken:</b> 29-Nov-2000			
103120-9011	US GEO	30-Oct-2000	Foreign Filing Due  Final	Foreign Filing	09449640%6523	30-Nov-1999	Issued
			<b>Remarks:</b> FEE ADD FORM (CPI) 7-13-01				
			<b>Resp. Sent Date:</b> 30-May-2000	<b>Date Taken:</b> 30-May-2000			

## Prosecution History

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Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
103213-8013	US	DHG		30-Oct-2000	APPEAL BRIEF Due Date	APPEAL BRIEF	09058296%7317	10-Apr-1998	Pending
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
6116-9009	US	CMM		30-Oct-2000	ISSUE FEE DUE Due Date	US-Allowance	29/118588	14-Feb-2000	Granted
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
107101-9021	US	LDA		30-Oct-2000	10 MONTH REMINDER Reminder	FOREIGN FILING-	09474760%2683	30-Dec-1999	Pending
					Resp. Sent Date: 30-Jun-2000	Date Taken: 30-Jun-2000			
107101-9022	US	LDA		30-Oct-2000	10 MONTH REMINDER Reminder	FOREIGN FILING-	09475256%6137	30-Dec-1999	Granted
					Resp. Sent Date: 30-Jun-2000	Date Taken: 30-Jun-2000			
107101-9023	US	DHG		30-Oct-2000	10 MONTH REMINDER Reminder	FOREIGN FILING-	09475185%6046	30-Dec-1999	Granted
					Resp. Sent Date: 30-Jun-2000	Date Taken: 30-Jun-2000			
107101-9024	US	DHG		30-Oct-2000	10 MONTH REMINDER Reminder	FOREIGN FILING-	09475187%6048	30-Dec-1999	Pending
					Resp. Sent Date: 30-Jun-2000	Date Taken: 30-Jun-2000			
107134-9012	US	KFT		30-Oct-2000	Application Status Check Due Date	Application Status Check	09302349%6779	30-Apr-1999	Transferd
					Resp. Sent Date: 26-Jun-2000	Date Taken: 26-Jun-2000			
107156-8023	US	RJH		30-Oct-2000	N/A E-1 Due Date	NOT. OF APPEAL	09069795%3764	30-Apr-1998	Granted
					Resp. Sent Date: 29-Dec-2000	Date Taken: 29-Dec-2000			





## Prosecution History

Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
107355-9002	US	MO		30-Oct-2000	DRAWINGS DUE Due Date	DRAWINGS DUE	09/234483	21-Jan-1999	Granted
					Resp. Sent Date: 23-Oct-2000	Date Taken: 23-Oct-2000			
07355-9002	US	MO		30-Oct-2000	Fee Due in 1 Month Reminder	US-Allowance	09/234483	21-Jan-1999	Granted
					Resp. Sent Date: 21-Nov-2000	Date Taken: 21-Nov-2000			
107355-9026	US	CMM		30-Oct-2000	Final Deadline Final	US-Restriction	09451757%9474	01-Dec-1999	Granted
					Resp. Sent Date: 29-Jun-2000	Date Taken: 29-Jun-2000			
107390-9006	US	MO		30-Oct-2000	Foreign Filing Due Final	Foreign Filing	09449641%6524	30-Nov-1999	Granted
					Remarks: 11-310824; FILED NOV 1, 1999				
					Date Taken: 30-Jan-2001				
107400-8015	US	DDD		30-Oct-2000	1 MON EXTENSION Due Date	US-3MON ACTION	09/097009	15-Jun-1998	Abandoned
					Remarks: REC CLT INST TO ABANDON CASE 8-1-01				
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
107424-8003	US	RBM		30-Oct-2000	1 MON EXTENSION Due Date	US-3MON ACTION	09173566%4340	16-Oct-1998	Abandoned
					Remarks: Abandon per 10/31/00 letter from client.				
					Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
108061-00003	WO	RBM		30-Oct-2000	WIPO completion Date Due Date	WIPO completion Date	IB00/00549	28-Apr-2000	Completed
		RJB				Remarks: NTERNATIONAL APPLICATION BASED ON US PROVISIONAL APPLICATION NO. 60/131,880 RELATED CASES V8061-9003 (PRIORITY); 108061- 00002 (TAIWAN); 108061-00023 (EUROPE) Rec'd exec req for change of Applicant's address 7/23/01-- Req for change of applicant's address under PCT Rule 92 bis filed 7/27/01 per T. Yacoub--			
		MTY			Date Taken: 30-Oct-2000				

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Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
108061-00003	WO	RBM		30-Oct-2000	20 MONTH NATIONAL	20 MONTH NATIONAL	IB00/00549	28-Apr-2000	Completed
	RJB				Reminder				
	MTY					Date Taken: 30-Oct-2000			
						Remarks: NTERNATIONAL APPLICATION BASED ON US PROVISIONAL APPLICATION NO. 60/131,880 RELATED CASES V8061-9003 (PRIORITY); 108061-00002 (TAIWAN); 108061-00023 (EUROPE)			
						Rec'd exec req for change of Applicant's address 7/23/01-- Req for change of applicant's address under PCT Rule 92 bis filed 7/27/01 per T. Yacoub--			
108061-00003	WO	RBM		30-Oct-2000	FILE DEMAND	FILE DEMAND	IB00/00549	28-Apr-2000	Completed
	RJB				Reminder				
	MTY				Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
						Remarks: NTERNATIONAL APPLICATION BASED ON US PROVISIONAL APPLICATION NO. 60/131,880 RELATED CASES V8061-9003 (PRIORITY); 108061-00002 (TAIWAN); 108061-00023 (EUROPE)			
						Rec'd exec req for change of Applicant's address 7/23/01-- Req for change of applicant's address under PCT Rule 92 bis filed 7/27/01 per T. Yacoub--			
108061-00003	WO	RBM		30-Oct-2000	PUBLICATION DUE - CHECK	PUBLICATION DUE - CHECK	IB00/00549	28-Apr-2000	Completed
	RJB				Reminder				
	MTY				Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000			
						Remarks: NTERNATIONAL APPLICATION BASED ON US PROVISIONAL APPLICATION NO. 60/131,880 RELATED CASES V8061-9003 (PRIORITY); 108061-00002 (TAIWAN); 108061-00023 (EUROPE)			
						Rec'd exec req for change of Applicant's address 7/23/01-- Req for change of applicant's address under PCT Rule 92 bis filed 7/27/01 per T. Yacoub--			

## Prosecution History

Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
108061-9004	WO	RBM		30-Oct-2000	30 MONTH CHAPTER II	30 MONTH CHAPTER 2	US99/14132	09-Jul-1999	Expired
					Reminder				
						Date Taken: 30-Oct-2000			
J8061-9009	EP	RBM		30-Oct-2000	EXTEND TO HONG KONG?	EXTEND TO HONG KONG?	98934212.6	09-Jul-1998	Pending
					Reminder				
						Remarks: NATL STAGE ENTRY DATE: 1.25.2000.			
						RELATED CASES: V8061-5012 (PRIORITY); F8061-8006 (PCT); F8061-9006 (AU); F8061-9007 (CA); F8061-9008 (CN); F8061-9010 (JP); F8061-9011 (KR); F8061-9012 (US)			
108064-00003	WO	RBM		30-Oct-2000	FILE DEMAND-1MO.	FILE DEMAND-1MO.	US00/08217	28-Apr-2000	Completed
					Reminder				
						Date Taken: 30-Oct-2000			
108064-00003	WO	RBM		30-Oct-2000	FILE DEMAND	FILE DEMAND	US00/08217	28-Apr-2000	Completed
					Reminder				
						Date Taken: 30-Aug-2000			
108064-00003	WO	RBM		30-Oct-2000	PUBLICATION DUE - CHECK	PUBLICATION DUE - CHECK	US00/08217	28-Apr-2000	Completed
					Reminder				
						Date Taken: 30-Oct-2000			
108064-00003	WO	RBM		30-Oct-2000	WIPO completion Date	WIPO completion Date	US00/08217	28-Apr-2000	Completed
					Due Date				
						Date Taken: 30-Oct-2000			

Thursday, March 14, 2002

## Prosecution History

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Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
108064-00003	WO	RBM		30-Oct-2000	20 MONTH NATIONAL	20 MONTH NATIONAL	US00/08217	28-Apr-2000	Completed
					Reminder				
	LEH			Resp. Sent Date: 30-Oct-2000	Date Taken: 30-Oct-2000				
108064-00003	WO	RBM		30-Oct-2000	20 MONTH NATIONAL	20 MONTH NATIONAL DUE-IMO.	US00/08217	28-Apr-2000	Completed
					Reminder				
	LEH				Date Taken: 30-Oct-2000				
108064-00003	WO	RBM		30-Oct-2000	STATUS-PUBLICATION	STATUS-PUBLICATION	US00/08217	28-Apr-2000	Completed
					Reminder				
	LEH				Date Taken: 30-Oct-2000				
108064-9007	US	LAB		30-Oct-2000	Application Status Check	Application Status Check	09302510%6992	30-Apr-1999	Pending
					Due Date				
					Remarks: REC EXE RULE 1.132 DEC 6-25-01				
					Resp. Sent Date: 28-May-1999	Date Taken: 28-May-1999			
108184-00001	US	RJB		30-Oct-2000	SEND LETTER	ANALYSIS LETTER	09532739%8257	22-Mar-2000	Abandoned
					Due Date				
					Remarks: THIS APPLN IS DIV OF 09/251431; FILED 2/17/99				
					Resp. Sent Date: 14-Nov-2000	Date Taken: 14-Nov-2000			
					DIV OF 08/849722; FILED 6/26/97 (5922867)				
					WHICH IS A 371 OF PCT/CA96/00845; FILED 12/13/96				
					CON OF 09/532739 FILED 11/16/01 (108184-00013)				
108255-00013	AU	GEO		30-Oct-2000	FILE NEW FRGN APPLN.	FILE NEW NAT/REG APPLN.	33853/99	19-Mar-1999	Pending
					Due Date				
					Remarks: AUSTRALIAN NATIONAL STAGE ENTRY DATE: 10/24/2000				
					Resp. Sent Date: 24-Oct-2000	Date Taken: 24-Oct-2000			
					NATIONAL STAGE ENTRY BASED ON PCT/US99/07549				

## Prosecution History

Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
108253-00019	CN	GEO		30-Oct-2000	SUBMIT POWER	POWER OF ATTY	99804827.5	19-Mar-1999	Published
	LEH				Reminder				
					Resp. Sent Date: 10-Jan-2001	Date Taken: 30-Oct-2000			
					Remarks: NATIONAL STAGE ENTRY DATE IN CHINA: OCTOBER 8, 2000 (OCTOBER 7, 2000 WAS A CHINESE HOLIDAY)				
					NATIONAL STAGE ENTRY BASED ON PCT/US99/07549				
					FOREIGN ASSOCIATE: JEEKAI INTELLECTUAL PROPERTY, LTD (FA'S NAME HAS CHANGED TO JEEKAI & PARTNERS)--RECD EXEC POA FROM CLT 2/8/01--				
108253-00020	EP	GEO		30-Oct-2000	FILE NEW FRGN APPLN.	FILE NEW NAT/REG APPLN.	99915307.5	19-Mar-1999	Published
					Due Date				
					Resp. Sent Date: 19-Sep-2000	Date Taken: 19-Sep-2000			
					Remarks: REGIONAL STAGE ENTRY DATE IN EUROPE: REGIONAL STAGE ENTRY BASED ON PCT/US99/07549				
					FOREIGN ASSOCIATE: CABINET HARLE & PHELIP				
108273-8005	US	RKC		30-Oct-2000	Issue Fee Due	US-Allowance	09/116463	16-Jul-1998	Granted
					Final				
					Resp. Sent Date: 23-Oct-2000	Date Taken: 23-Oct-2000			
8287-8002	US	CMM		30-Oct-2000	2 MON EXTENSION	US-3MON. ACTION	09037916%/7683	10-Mar-1998	Pending
					Due Date				
					Resp. Sent Date: 29-Aug-2000	Date Taken: 29-Aug-2000			
108288-09025	WO	RKC		30-Oct-2000	SEND LTR TO CLIENT	FRGN ANALYSIS LTR	IB99/02022	17-Dec-1999	Transfer'd
					Due Date				
					Remarks: Transferred 3/1/01 to Steven Hillquist, NC				
	LEH				Resp. Sent Date: 07-Dec-2000	Date Taken: 07-Dec-2000			



## Prosecution History

Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
108339-00017	WO DHG			30-Oct-2000	Search Report Due (from WIPO)	Search Report Due (from WIPO)	US00/16306	30-Jun-2000	Completed
	MTY			Resp. Sent Date: 30-Oct-2000	Reminder	Date Taken: 30-Oct-2000			
108339-00017	WO DHG			30-Oct-2000	20 MONTH NATIONAL	20 MONTH NATIONAL	US00/16306	30-Jun-2000	Completed
	MTY				Reminder	Date Taken: 30-Oct-2000			
108339-00017	WO DHG			30-Oct-2000	DEMAND DUE-3MO.	DEMAND DUE-3MO.	US00/16306	30-Jun-2000	Completed
	MTY				Reminder	Date Taken: 30-Oct-2000			
108339-9003	US DHG			30-Oct-2000	E-3	MISSING PRT 2MO	09527856%3721	17-Mar-2000	Pending
					Due Date				
				Resp. Sent Date: 21-Jun-2000		Date Taken: 21-Jun-2000			
108341-9011	US LAB			30-Oct-2000	SEND LETTER	ANALYSIS LETTER	09308223%5876	19-May-1999	Pending
					Due Date				
				Resp. Sent Date: 29-Nov-2000		Date Taken: 29-Nov-2000			
108382-08010	US RJB			30-Oct-2000	SEQUENCE LISTING E2	SEQUENCE REQUIREMENTS	09020544%3382	09-Feb-1998	Pending
					Due Date				
				Resp. Sent Date: 30-Aug-2000		Date Taken: 30-Aug-2000			
108391-00012	US SH			30-Oct-2000	FILE NEW APPLN.	FILE NEW APPLN.	09698244%6337	30-Oct-2000	Pending
					Final				
				Resp. Sent Date: 30-Oct-2000		Date Taken: 30-Oct-2000			

Thursday, March 14, 2002

## Prosecution History

Page: 16

Case Number	Sub Case	Country	Attorney	Date Due	Reason for Date/ Indicator	Action Type	Application Number	Filing Date	Status
108398-00002	US LAB			30-Oct-2000	SEND LETTER Due Date	ANALYSIS LETTER	09333362%3404	15-Jul-1999	Allowed
					Remarks: APPLN TO BE HANDLED BY APPLICANT, PER LTR				
					Resp. Sent Date: 16-Nov-2000	Date Taken: 16-Nov-2000	DTD 3/28/01		
108398-00005	US DDD			30-Oct-2000	2nd Extension Reminder	US-Restriction	09171962%8699	29-Oct-1998	Pending
					Remarks: APPLN TO BE HANDLED BY APPLICANT, PER LTR				
					Resp. Sent Date: 22-Aug-2000	Date Taken: 22-Aug-2000	DTD 3/28/01		
108420-00001	US CMM			30-Oct-2000	E-3 Due Date	MISSING PRT 2MO	09531500%7098	21-Mar-2000	Granted
					Resp. Sent Date: 03-Jul-2000	Date Taken: 03-Jul-2000			
108910-8057	US DHG			30-Oct-2000	SEND LETTER Due Date	ALLOWANCE LETTR	08/885869	30-Jun-1997	Granted
					Resp. Sent Date: 23-Oct-2000	Date Taken: 23-Oct-2000			
108910-9014	US DHG			30-Oct-2000	APPEAL BRIEF E3 Due Date	APPEAL BRIEF	09/333683	16-Jun-1999	Granted
					Resp. Sent Date: 28-Jun-2000	Date Taken: 28-Jun-2000			



30 October Monday

30/02 Bank Holiday (Ireland)

October 2000  
S M T W T F S  
1 2 3 4 5 6 7  
8 9 10 11 12 13 14  
15 16 17 18 19 20 21  
22 23 24 25 26 27 28  
29 30 31

*Donor Sub 11/30 - T8228 - 7002*

November 2000  
S M T W T F S  
1 2 3 4  
5 6 7 8 9 10 11  
12 13 14 15 16 17 18  
19 20 21 22 23 24 25  
26 27 28 29 30

Tuesday October 31

Halloween 30/01

Evening

Evening

**Their Ref: BP1163**

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 Connecticut Avenue, NW  
Washington, DC 20036-5339

ATTORNEY:

UFI 5

**CLIENT NAME:**

Brookline Corporation

## CLIENT REFERENCE:

MATTER NO.: 07011

FOREIGN FILING DOCKETED YES ☐ NO ☐             
INIT.

**SMALL ENTITY:**

ORIG. DOCS IN FILE? YES ☐ NO ☐

CLIENT / MATTER NO.: 108339-09011

PATENT NO.: \_\_\_\_\_

ISSUE DATE: \_\_\_\_\_

PATENT APPLICATION FILE

(NEW      CONT      DIV      CIP ✓ REISSUE     )

INVENTOR(S) KALKUNTE et al

SERIAL NO. 091577,012

FILED May 24, 2000

SERIAL NO. 07/577,012  
TITLE Apparatus + Method for Distributing a Load Across A Trunk Group

ASSIGNEE: Broadcom Corporation

SIGNATURE: \_\_\_\_\_  
CIP of 09/343409 filed 6/30/99 (68333-9111)

PRIORITY: 5/24/99

RELATED U.S. APPLICATIONS 60/135,162

**SPECIAL CLIENT INSTRUCTIONS:**

Prov. appen f. 5/24/99

**ATTORNEY FILE REVIEW**

DATE \_\_\_\_\_ INIT. \_\_\_\_\_

DATE \_\_\_\_\_ INIT. \_\_\_\_\_

DATE \_\_\_\_\_ INIT. \_\_\_\_\_

[illegible]

VISION# 5R108

IM: 108339-09017

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

KALKUNTE et al

Group Art Unit: 2739

Application No.: 09/577,012

Examiner: Not yet assigned

Confirmation No.: 5213

Filed: May 24, 2000

Attorney Dkt. No.: 108339-09017

For: APPARATUS AND METHOD FOR DISTRIBUTING A LOAD ACROSS A TRUNK GROUP

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN - BOX MISSING PARTS**

March 14, 2002

Sir:

In response to the Notice to File Missing Parts of Application dated August 30, 2000, the following are enclosed:

- ☒ \$130.00 as payment of the surcharge for the Notice to File Missing Parts;
- ☐ \$-- as payment for the --[small entity] filing fee;
- ☐ \$-- as payment for a -- month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application --[with a Preliminary Amendment]; and
- ☐ Other.

Applicants(s) are also enclosing the following:

☐ A Information Disclosure Statement with Form PTO-1449, -- refs. --[and a search report];

☐ A certified copy of -- Priority Application No. -- filed --;

☒ Originally executed Assignment with Form PTO-1595; and

☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,



Douglas H. Goldhush  
Registration No. 33,125

**Customer No. 004372**  
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810

DHG:scc

Attorney Docket No. 108339-09017

Date: March 14, 2002

**BOX ASSIGNMENT**

To the Commissioner of Patents-  
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Mohan KALKUNTE; Shiri KADAMBI; and Shekhar AMBE  
Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Broadcom Corporation

Address: 16215 Alton Parkway  
Irvine, California 92618-3616

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: All on March 4, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/577,012

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arent Fox Kintner Plotkin & Kahn PLLC

Street Address: 1050 Connecticut Avenue, N.W., Suite 400

Washington, D.C. 20036-5339

6. Total number of applications and patents involved:  
One

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Included in attached Check No. 336308.

☐ Any additional fees are authorized to be charged to deposit account

8. Deposit account number: 01-2300  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas H. Goldhush

Name of Person Signing  
Reg. No. 33,125

  
Signature

March 14, 2002  
Date

Total number of pages including cover sheet, attachments, and document: 2

**WORLDWIDE INVENTION ASSIGNMENT**

IN CONSIDERATION of the sum of One Dollar (\$1.00 US), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

[Insert  
ASSIGNEE's  
Name(s)  
Address(es)]

Broadcom Corporation  
16215 Alton Parkway  
Irvine, California 92618-3616

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

[Title of  
Invention]

APPARATUS AND METHOD FOR DISTRIBUTING A LOAD ACROSS A TRUNK GROUP

[\*If the assignment is being filed after the filing of the application, this section must be completed]

for which the following applications were filed:

<b>*Filed on:</b>	24 May 2000	<b>Application</b>	09/577,012
	24 May 1999	<b>Number(s)</b>	60/135,602

(Arent Fox Kintner Plotkin & Kahn is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of any country in the world to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)  
of Assignor(s)]

x Mohan Kalkunte  
(SIGNATURE)

Mohan KALKUNTE  
(TYPE NAME)

x 3/4/02  
(DATE)

x Shiri Kadambi  
(SIGNATURE)

Shiri KADAMBI  
(TYPE NAME)

x 3/4/02  
(DATE)

x Shekhar Ambe  
(SIGNATURE)

Shekhar AMBE  
(TYPE NAME)

x 3/4/02  
(DATE)

(SIGNATURE)

(TYPE NAME)

(DATE)

(SIGNATURE)

(TYPE NAME)

(DATE)

(SIGNATURE)

(TYPE NAME)

(DATE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KALKUNTE et al

Application Number: 09/577,012

Filed: May 24, 2000

Atty. Docket No.: 58268-09017

For: APPARATUS AND METHOD FOR DISTRIBUTING A LOAD ACROSS A TRUNK GROUP

**NOTIFICATION OF CHANGE OF NAME AND ADDRESS**  
**AND ASSOCIATE POWER OF ATTORNEY**

Commissioner of Patents  
Washington, D.C. 20231

April 4, 2002

Sir:

The undersigned attorney of record respectfully requests that the correspondence name and address for the above-identified application be changed to the following:

**Customer Number 32294**  
SQUIRE, SANDERS & DEMPSEY LLP  
14<sup>TH</sup> Floor  
8000 Towers Crescent Drive  
Tysons Corner, Virginia 22182-2700  
Telephone: 703-720-7800  
Telefax: 703-720-7802.

**RECEIVED**

SEP 24 2002

**OFFICE OF PETITIONS**



**32294**

PATENT TRADEMARK OFFICE

Further, the undersigned, the attorney of record in connection with the above-identified application, hereby grants and appoints as associate attorneys to conduct all business before the United States Patent & Trademark Office relative to said application:

Douglas H. Goldhush, Reg. No. 33,125; Kevin F. Turner, Reg. No. 43,437;  
Dinnatia J. Doster, Reg. No. 45,268; Sam Huang, Reg. No. 48,430; Rhonda

L. Barton, Reg. No. 47,271; Marc A. Sockol, Reg. No. 40,823; Vidya R. Bhakar, Reg. No. 42,323; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer P47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. P47,947.

Should any fees be due with respect to this paper, please charge Counsel's Deposit Account No. 50-2222.

Respectfully submitted,

**SIGNATURE ON  
ORIGINAL**

Douglas H. Goldhush  
Reg. No. 33,125

**Customer Number 32294**  
SQUIRE, SANDERS & DEMPSEY LLP  
14<sup>TH</sup> Floor  
8000 Towers Crescent Drive  
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DHG:scc